

NOTICE

Information Privacy Policy

Willis Re Securities collects non-public personal information about you from the following sources:

- Information we receive from you on applications or other forms;
- Information about your transactions with us or others; and
- Information we receive from a consumer reporting agency

We do not disclose any non-public personal information about you to anyone, except as permitted by law.

If you decide to close your account(s) or become an inactive customer, we will adhere to the privacy policies and practices as described in this notice.

The Firm restricts access to your personal and account information to those employees who need to know that information to provide products or services to you.

The Firm maintains physical, electronic, and procedural safeguards to guard your non-public personal information.